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on July 31, 2003

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SEQUENCE LISTING SUBMISSION  
UNDER 37 C.F.R. § 1.821  
Examining Group 1632  
Patent Application  
Docket No. UF-221C1XC1  
Serial No. 09/662,254



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Anne Marie Sabrina Wehbe  
Art Unit : 1632  
Applicants : Richard W. Moyer, Yi Li, Alison Louise Bawden  
Serial No. : 09/662,254  
Filed : September 14, 2000  
Confirm. No. : 2442  
For : Materials and Methods for Delivery and Expression of Heterologous DNA  
in Vertebrate Cells

MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUBMISSION OF SEQUENCE LISTING AND  
STATEMENT UNDER 37 C.F.R. §§ 1.821-1.825

In compliance with 37 C.F.R. §§ 1.821 through 1.825, transmitted herewith is a sequence listing in computer readable form and on paper.

I hereby certify that the attached sequence listing in computer readable form is identical to the attached paper copy of the sequence listing and that no new material is introduced by this submission.

Respectfully submitted,

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GPL/mv

Attachments: Sequence Listing on Paper  
Sequence Listing in Computer Readable Form (disk)



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Application No.: 09/662,254

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: The nucleotide or amino acid sequences in Figures 10 and 12 are not identified by SEQ ID NOS.

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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